





**QMSys GUM Software** 

# **Tools for Measurement Uncertainty Analysis**



# **Software editions:**

- **QMSys GUM Enterprise 5.1**
- **QMSys GUM Professional 5.1**
- **QMSys GUM Calculator 5.1**
- **QMSys GUM Excel Add-In 5.1**

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## <span id="page-3-0"></span>**1. Introduction**

## <span id="page-3-1"></span>**1.1. General**

OMSys GUM Software products are comprehensive tools for analysis of the measurement uncertainty of physical measurements, chemical analyses, and calibrations. Whether you are a scientist, metrologist, design engineer, production engineer, test engineer or anyone dealing with measurement accuracy, you need to know only the information that falls within your technical specialty. Our products are the ultimate assistant to your practice, refining it with proven professionalism and reliability. OMSys GUM Software furnishes the statistical analysis, while you furnish the technical knowledge.

The software complies with numerous international guidelines and standards. Some of the most recognized ones are:

- **ISO/IEC Guide 98-3:2008 (GUM:1995)** Guide to the expression of uncertainty in measurement
- **ISO/IEC Guide 98-3:2008/Suppl. 1:2008** Supplement 1 to the "GUM" Propagation of distributions using a Monte Carlo method
- **ANSI/NCSL Z540.2** U.S. Guide to the Expression of Uncertainty in Measurement
- **EA-4/02** Expression of the Uncertainty of Measurement in Calibration
- **DAkkS-DKD-3** Expression of the Uncertainty of Measurement in Calibration
- **UKAS M3003** Expression of Uncertainty and Confidence in Measurement
- **EURACHEM/CITAC Guide CG 4** Quantifying Uncertainty in Analytical Measurement
- **VDA Band 5** Measuring Process Suitability
- **ASME PTC 19.1-2005** Test Uncertainty
- **ILAC-G8:09/2019** Guidelines on Decision Rules and Statements of Conformity
- **ISO/IEC/EN 17025:2005** Concerning the evaluation of the measurement uncertainty.

The software uses three different methods to calculate the measurement uncertainty:

- **GUF Method for linear models** this method is applied to linear and quasi-linear models and corresponds to GUM Uncertainty Framework. The software calculates the partial derivatives (the first term of a Taylor series) to determine the sensitivity coefficients of the equivalent linear model and then calculates the combined standard uncertainty in accordance with the Gaussian error propagation law.
- **GUF Method for nonlinear models** this method is provided for nonlinear models with symmetric distribution of the result quantities. In this method, a series of numerical methods are used  $-$  e.g. nonlinear sensitivity analysis, second and third order sensitivity indices, quasi-Monte Carlo with Sobol sequences. The additional influences, such as non-linear relationships, correlations, distribution type or interaction of the input quantities, are also considered when calculating the uncertainty contributions. The results obtained with this method coincide with the analytical method remarkably closely.
- **Monte Carlo Method** this method is described in the first supplement to GUM, and it is the only appropriate method for many calculations of the uncertainty since the equations of the model are often not linear. In the Monte Carlo technique, a suitable distribution is attributed to each input quantity. From these distributions, a "random value" for each is simulated and a value of the target quantity is calculated from this set of input data. This procedure is repeated many times, so that a set of data are obtained for the result quantity, which represents a random sample from the "potential" values of the result quantity as a function of variations in the input quantities according to their distribution. The mean value and the standard deviation of this random sample are estimates for the value of the result quantity and its standard uncertainty. To achieve reliable estimates, a high number of replicates are necessary - usually from  $2x10<sup>5</sup>$  up to 10<sup>6</sup>. The Monte Carlo technique, however, provides far more than an estimate for the result quantity and its standard uncertainty, namely: an estimated distribution of the result quantity and a realistic coverage interval.

Using these methods, the *QMSys GUM Software* offers plausible and accurate calculation of the measurement uncertainty for virtually all types of measurements:

- linear and nonlinear models
- symmetric and asymmetric distributions of the result quantities
- correlated input variables with arbitrary probability distribution

The software is applicable even in cases that are not described in GUM, GUM Suppl.1:

- correlated non-normally (non-Gaussian) distributed input quantities
- correlated input quantities with finite degrees of freedom
- nonlinear models with more than two correlated input quantities
- non-linear models with non-normally distributed input quantities

A special algorithm for generation of exact correlated values, while maintaining the specified probability distributions, is developed, and implemented in the program to ensure the accuracy and validity of the results in these cases.

The program supports the systematic procedure in building an uncertainty analysis, as requested in the corresponding standards and guides. This process consists of the following basic steps:

- Creation of mathematical model, which describes the relationship between the quantities in the respective measurement
- Analysis of the required information as the standard measurement uncertainty or the distribution of values of input quantities
- Entry of the observations
- Determination of the correlation coefficient between the input quantities
- Analysis of the model and selecting the appropriate method for calculating the measurement uncertainty
- Calculation of the measurement uncertainty and preparation of the measurement uncertainty budget
- Validation of the results estimate, combined uncertainty, and coverage interval (expanded uncertainty)

The computation examples in the documents GUM, GUM Supplement 1, EA-4/02, DAkkS-DKD-3 and **EURACHEM/CITAC Guide CG 4** are added to the software package as example models that can be analyzed with the program.

The result of the evaluation is a clearly structured measurement uncertainty budget in a table form. This table holds all used quantities with their quantity names and values, the associated standard uncertainty and effective degrees of freedom, the sensitivity coefficient automatically derived from the model equation and the contribution to the standard uncertainty of the result of the measurement. Finally, the complete result of the examination is presented as a value with associated expanded uncertainty and automatically or manually selected coverage factor.

The Monte Carlo method displays a histogram, statistical parameters of the estimated distribution of the result quantities and validation of the results. For result quantities with asymmetric distribution, the program estimates the shortest coverage interval, the asymmetric expanded measurement uncertainty and asymmetric coverage factor.

The summary budget offers the following additional analysis:

- Regression analysis and calculation of the equation of the expanded measurement uncertainty for a certain measurement range
- Diagrams of the expanded measurement uncertainty for a certain measurement range
- Correlation analysis of the result quantities.

The result of the uncertainty analysis together with all input data can be printed with the help of configurable templates as a report. All input texts are part of the printout and are used for documentation purposes.

Each analysis can be completely saved in a file with a selectable name. In this way, the examination is available at any time for a later review or editing. Each saved analysis can be used as a starting point for new uncertainty analyses using the same model, but with new and changed data.

## <span id="page-4-0"></span>**1.2 Validation of the QMSys GUM Software**

QMSys GUM Software is a standard application that offers the possibility for the user to freely enter or modify the model equation. With this feature, the application can be used to evaluate almost any measurement process. Therefore, a general validation by *Qualisyst Ltd.* for all possible purposes is not possible. The correct calculation can be verified with the help of the examples from the official regulations and guidelines (GUM, Supplement 1 to the GUM, EA-4/02, DAkkS-DKD-3 and EURACHEM/CITAC Guide CG 4), which are part of the installation. These documents are available for free downloading. The results of the validation of the GUM OMSys software are shown in the tables in Appendix A.

During the development, the emphasis was put on usability and robustness of the *QMSys GUM Software*. Please contact us by email to [qualisyst@qsyst.com](mailto:qualisyst@qsyst.com) in case you face any problems, and we will assist you in solving the problem as soon as possible.

## <span id="page-5-0"></span>**1.3 Editions of the QMSys GUM Software**

#### **Main software editions**

- **QMSys GUM Enterprise** provides the highest-precision analysis of the measurement uncertainty for all types of measurements by offering a variety of settings for the analysis methods
- **QMSys GUM Professional** offers accurate analysis of measurement uncertainty for linear and nonlinear models with symmetric or asymmetric distribution of the output quantities when using optimized settings for analysis methods.



#### **Additional standalone software editions**

The following software editions offer calculating the measurement uncertainties by using the model files, prepared with the software editions **QMSys GUM Enterprise / Professional**.

- **QMSys GUMX Excel Add-In** offers full integration of the measurement uncertainty calculation in MS Excel. The software **QMSys GUMX** also calculates the measurement uncertainty of unlimited number of measurement series (result quantities with identical measurement model) using a model file for only one set of measurements. This functionality is particularly useful when calibrating in several points of the measuring range, in addition, it simplifies the measurement models.
- **QMSys GUM Calculator** is designed primarily for the operators of the measuring instruments with minimum training requirements measurement. The graphical user interface is based on the optimized user interface of the software editions **QMSys GUM Enterprise** with some restrictions of the editing functions for the models of the measurement process, which prevents unwanted change of the developed models. The edition **QMSys GUM Calculator** offers the same methods for calculating the measurement uncertainty, and statistical and graphical evaluation as the software edition **QMSys GUM Enterprise**.
- **QMSys GUML Developer Library** is a dynamic-link-library for integration of the measurement uncertainty calculation in custom specific projects as software for processing the raw measurement data, calibration management systems, LIMS, etc.

The functionality of the different editions is shown in the following tables:

#### **Key features**



# **Modelling of the measurement process**



Note: The software editions *OMSys GUM Calculator* and *OMSys GUMX Excel Add-In* use the model files, prepared with the software editions *QMSys GUM Enterprise / Professional.* 



#### **Expert Analysis**



#### **Methods for calculation of the measurement uncertainty**





#### **Evaluation of the results**

(also for asymmetric distributions)



## <span id="page-9-0"></span>**2. Software Description**

The graphical user interface of the *OMSys GUM software* is based on several views, which are further structured by dialog pages. The title of the project and the settings for the methods for calculating the measurement uncertainty are entered in the upper range of the program window.

The following data are positioned on separate views:

- Main data the registers *Description, Model* and *Total budget* are available. By selecting the different registers, the corresponding data can be viewed or edited.
- Observation this view processes the values of type A quantities.
- Correlations known correlations between the input quantities are entered in a matrix of correlation coefficients.
- Expert analysis the software performs an advanced analysis of the model and determines the appropriate methods for the following calculation of the uncertainties.
- Budget this view presents the results of the analysis in the registers GUF, Charts and MCM
- **Summary** documentation field for summarizing the results.

The program menu and the toolbar provide specific functions for the selected view.



## <span id="page-9-1"></span>**2.1. View Main data**

#### <span id="page-9-2"></span>**2.1.1. Register Description**

In the *Description* register, a general description of the measurement task can be entered. This data are used for informational purposes and is part of the printout.

Images can be pasted from the clipboard or with the *Insert object* button in the toolbar. Other objects (files) can also be imported and saved in the file of the uncertainty analysis. Double click on the inserted object will start the appropriate program to view or edit the object.

#### <span id="page-10-0"></span>**2.1.2. Register Model**

The dialog page Model in the view Main data holds the mathematical model of the uncertainty analysis and the parameters of all quantities.

In the upper field on this page, the equations of the mathematical model can be entered. The model equations are the starting point for all subsequent calculations by the software. It is always possible to insert new quantities into the equation, and to rename or to delete existing quantities. Additional functions are available in a toolbar above the equation field.



When a model equation is more complex and contains a large number of input quantities, it is advisable to split it into smaller parts by introducing interim results, and thereby to make it easier to understand.

After a new entry or a change in the mathematical model, the syntax of the equations is checked, and the list of quantities is rebuilt or updated. The current data of the selected quantity are displayed to the right and may be edited. The following table provides an overview of the different quantity types.



A basic unit of the quantity value and additional unit for the measurement uncertainty can be assigned to every quantity in the model. The program provides an adequate database with SI units and some other commonly used units outside the SI. New custom measurement dimensions and units can be added to the database.

#### <span id="page-11-0"></span>**2.1.3. Register Total budget**

In the page Total budget, you can activate the summary of the results in a table and set the parameters for the regression analysis or the correlation analysis of the resulting values. Automatic entering is possible with the builtin search function.



## <span id="page-11-1"></span>**2.2. View Observation**

The view Observation processes the values of repeatedly observed quantities. The data are typed into a table, the structure of which depends on the method of observation.





The data for an observed quantity of type A can be imported from the clipboard or from a MS Excel file. The data are read in, checked, and inserted in the observation table. Any existing data will be replaced by the imported data.

When valid data for all observations (or readings) have been entered, the statistical information including the mean value, the standard deviation, the standard uncertainty, and the Histogram of the data will be displayed.

## <span id="page-12-0"></span>**2.3. View Correlations**

In the Correlations page, known correlations between the input quantities are entered in a matrix of correlation coefficients. The software analyzes the correlation matrix (Eigen value decomposition) and checks if the matrix is positive semi-definite.

The button Correlation analysis for type A quantities will start an analysis for possible correlation between measurands. A prerequisite for a correlation analysis is that the number of observations of the two quantities must be equal and that all the observations are filled in and are valid.



If correlations between input values are taken into consideration, the description field should contain the reason why, and where the correlation coefficients came from.

#### <span id="page-13-0"></span>**2.4. View Expert Analysis**

The view *Expert analysis* presents the results of the expanded analysis of the model. The software checks the conditions for the application of the different methods and determines the appropriate methods for the following calculation of the measurement uncertainty.

The following tests and calculations are performed:

- Linearity test for each input quantity in sixth areas of the distribution interval
- Calculation of the results of the equivalent linear model and the quasi-real model
- Validating the results of the equivalent linear model (value and combined standard uncertainty)
- Analysis of the distribution of the result quantities, determination of the symmetry and the distribution type
- Check for correlated input quantities with a finite degree of freedom
- Check for non-linear correlated input quantities
- Check for non-linear non-normally distributed input quantities.

Example: Expert analysis of a nonlinear model.



#### Example: Expert analysis of a linear model.



#### <span id="page-14-0"></span>**2.5. View Budget**

The result of the analysis is presented in pages GUF and Monte Carlo of the Budget view.

The page GUF shows a clearly structured measurement uncertainty budget in a table form. This table holds all used quantities with their quantity names and values, the associated standard uncertainty and effective degrees of freedom, the sensitivity coefficient automatically derived from the model equation and the contribution to the standard uncertainty of the result of the measurement. The Interim results are only shown with the value and the standard uncertainty. Additional columns can be activated in the *Budget* menu.



The result quantity is displayed in the bottom line with its value, the corresponding combined standard uncertainty, and the degrees of freedom. Finally, the complete result of the examination is presented as a value with associated expanded uncertainty and automatically or manually selected coverage factor. The results are automatically rounded and displayed in E-Format if necessary.

The page Charts helps the user quickly to identify the most significant sources of uncertainty. The software offers several types of charts and adjustable limit of the cumulative relative uncertainty contribution.

The Monte Carlo method displays a histogram, statistical parameters of the estimated distribution of the result quantities and validation of the results. For result quantities with asymmetric distribution, the program estimates the shortest coverage interval, the asymmetric expanded measurement uncertainty, and the asymmetric coverage factor.

The Total budget offers the following additional analysis:

- Regression analysis and calculation of the equation of the expanded measurement uncertainty for a certain measurement range
- Diagrams of the expanded measurement uncertainty for a certain measurement range
- Correlation analysis of the result quantities.



The software automatically validates the results of the GUF Method by comparing the values, the combined standard uncertainties, and the limits of the coverage intervals. The numerical tolerance δ in this comparison is calculated based on the combined standard uncertainty and the number of significant digits (2 to 5). The software offers an alternative calculation of the tolerance δ as a percentage of the combined standard uncertainty. Should the comparison be positive, then the GUM uncertainty framework can be used on this occasion and for sufficiently similar models in the future. Otherwise, consideration should be given for using MCM or another appropriate method instead.

The result of the uncertainty analysis together with all input data can be printed with the help of configurable templates as a report. All input texts are part of the printout and are used for documentation purposes.



Each analysis can be completely saved in a file with a selectable name. In this way, the examination is available at any time for a later review or editing. Each saved analysis can be used as a starting point for new uncertainty analyses using the same model, but with new and changed data.

## <span id="page-17-0"></span>**3. Program Menu, Toolbars, and Input Fields**

## <span id="page-17-1"></span>**3.1. Program Menu and Main Toolbar**



#### **Menu Model -> Preferences**

The Preferences command from the Model menu lets you set the general settings for the numerical representation, the Monte Carlo method, and other options.

#### **Menu Model -> Catalog of units**

This command opens the catalog of measurement units for editing. New units can also be inserted or edited; standard units cannot be changed.

## <span id="page-18-0"></span>**3.2. Input Fields**



• GUF - checkbox for enabling / disabling the GUF-Method for linear models  $N<sub>L</sub>$  - checkbox for enabling / disabling the GUF-NL method for nonlinear models

#### **Monte Carlo Method**

The following settings are available:

- MCM checkbox for enabling / disabling the Monte Carlo method
- Adaptive checkbox for adaptive Monte Carlo procedure
- **Tolerance** value from  $0.1* \delta$  up to  $1.0* \delta$  for the stabilization of the parameters of the simulation
- **Trials/ cycle** number of simulations (trials) per cycle
- **Trials** total number of simulations from  $10<sup>3</sup>$  up to  $10<sup>8</sup>$ .

The minimum number of trials according to  $GUM Supplement 1$  is computed automatically.

A basic implementation of an adaptive Monte Carlo procedure involves carrying out an increasing number of Monte Carlo trials until the results have stabilized in a statistical sense. The result, the combined uncertainty and the limits of the coverage interval are deemed to have stabilized if twice the standard deviation associated with them is less than the numerical tolerance δ, associated with the combined standard uncertainty (GUM Supplement 1, NPL Report DEM-ES-010 and NPL Report DEM-ES- $011$ ). A diagram is showing the stabilization for each output quantity during the simulation.

#### **Fields for quantity name, units and other texts**

Additional Greek characters are available over the combination of keys "Ctrl +  $s$ " or over the context menu of the right mouse button.

In the fields for measurement units superscript and subscript formats are available over the combinations of keys "Ctrl + Up Arrow", "Ctrl + Down Arrow" or over the context menu of the right mouse button.

## <span id="page-18-1"></span>**3.3. Additional Toolbars**

#### **Field for model equations**



#### **List of quantities**



#### **Fields for model equations and descriptions**

To these fields stands a button bar for formatting the texts. The selected font type and size in the equations field applies to the entire field, not to individual elements. The other formatting functions can be set individually.

#### **Import of data from MS Excel file**



#### **Method of observation for type A quantities, indirect measurements**



#### **Window Observation - Graphical display**



#### **View Budget – Monte Carlo Method**



#### <span id="page-20-0"></span>**4. Preferences, Model Properties**

In *OMSys GUM software* the user can configure several aspects of the programs in the dialog windows Preferences and Properties. The options in the Preferences dialog window apply to the program and in the Properties dialog window for the current uncertainty analysis. The Preferences dialog is called via the menu command Model -> Preferences and Properties window is opened with the toolbar button Properties or via the menu Functions -> Properties.

The Preferences dialog window is structured with the help of four register tabs grouping together different configuration settings. All changes in the settings are automatically saved. The options in the Preferences dialog window are used as default settings for any new analysis.

File-related options are set in the *Properties* window or in the text boxes on the appropriate program views and pages. In the Properties window, the first author and date of creation of the uncertainty file, the last editor and the date of modification, and the version of the file are also shown. The change in these settings has an effect only on the current analysis and is saved only in the file.

Some setting options in the editions GUM Professional and GUM Calculator are fixed and cannot be changed.

#### <span id="page-20-1"></span>**4.1. General**

On the *General* tab the following settings are edited:



• Author - the author field contains the default value for the author of an uncertainty analysis. The author and the current date are saved in the field *Created* when a new measurement uncertainty file is created. When editing existing uncertainty analysis, the current editor and date are saved in the field Modified.

- **GUM Enterprise / Professional / Calculator / Excel Add-In**<br>• Proof of capability and compliance assessment in this area, the default settings for the proof of capability and compliance assessment are selected.
	- Expert analysis in these fields are entered the limits for nonlinearity of the model, for asymmetry of the distribution of the result quantities. The following table lists the default settings of the limits and the expected errors in the combined measurement uncertainty under typical and worst-case conditions:



- GUF-NL Method for nonlinear models in these fields the order of the sensitivity index and the limit for sensitivity are defined. The edition GUM Enterprise provides calculation of sensitivity indices to third order (simultaneous interaction of two and / or three input quantities). The editions *GUM Professional* and GUM Calculator calculate sensitivity indexes to second order (simultaneous interaction of two input quantities). In the table of the measurement uncertainty budget only uncertainty contributions of higher order with sensitivity greater than or equal to the entered limit are shown.
- Import of data from MS Excel this option holds the checkbox for enabling / disabling the automatic updating of data imported from MS Excel files. Additionally, can be adjusted the export of results in the same or another file. If the automatic export is selected, the results will be written in the selected file automatically after each calculation of measurement uncertainty and preparation of the budget. The file containing the exported results can also be automatically opened for further processing.
- Reports with company logo in this area, the settings for the company logo on the uncertainty of measurement reports will be carried out. A graphic file with your company logo is selected with the File button. After that, the logo is automatically converted and stored in the file "Logo.dat" in the program folder. There is also the possibility of adjusting the size of the company logo on the report. The including of the company logo in the report can also be turned on or off in the corresponding check box.

## <span id="page-22-0"></span>**4.2. Values Format**

On the page *Values format* the following setting are edited:



- General number format in this area are defined the number of significant digits and the range for numbers, which will be displayed as an exponential expression (E-format). All numbers smaller than the number, defined in the Values  $\langle$  10E field or bigger than the number, defined in the Values  $>$  10E field will be given in the E-format. Additionally, you can set the e-format (e.g.,  $\times 10^{-6}$ , E-6, .10<sup>-6</sup>) and the mantissa. The exponent is always a multiple of three and is chosen so that the mantissa is in the range given by the *Mantissa* field. The option *Same exponent for value and uncertainty* forces the same exponent on the value and the associated uncertainty of a quantity.
- Special formatting of the results in these fields the default settings for the special format of the value and the expanded uncertainty are entered. The default *coverage probability*, the options for *E-format* and *Decimal symbol* of the result quantity can also be predefined. To format fractions, or numbers with decimal points, include digit placeholders: # (number sign) displays only significant digits and does not display insignificant zeros; 0 (zero) displays insignificant zeros, if a number has fewer digits than there are zeros in the format.

If the special formatting of the result quantities in the view Main data on the register page Model is not selected, the expanded measurement uncertainty will be formatted with the given number of significant digits minus one.



<span id="page-23-0"></span>On this page can be selected the default chart type for the graphical illustration of the most significant sources of uncertainty. The software offers several types of charts and adjustable limit of the cumulative relative uncertainty contribution for simple models (max. 10 input quantities) and complex models.



## <span id="page-24-0"></span>**4.4. Monte Carlo Method**

On this page, the user can edit the settings for the uncertainty analysis using the Monte Carlo method:



- General settings at this area the total number of trials (simulations), the number of simulations in a cycle, the random number generator, the fixed seed, and the precision of the correlation coefficient between the simulated values (number of decimal places) are defined. A higher precision of the correlation coefficients can also increase the computing time.
- Presentation of asymmetric uncertainty here the order of presentation of the asymmetric areas of the expanded uncertainty is selected, for example, [-0.14, +0.08] or [+0.08, -0.14].
- Calculation of tolerance δ for GUF-validation the numerical tolerance δ is determined based on the combined standard uncertainty and the number of significant digits (2 or 3). The software offers an alternative calculation of the tolerance  $\delta$  as a percentage of the combined standard uncertainty, which allows a more accurate validation with a given probability.

#### Examples:



• Validation of the Monte Carlo method - at this point the numerical tolerance for the stabilization of the parameters of the resulting distribution is given. The possible values are from  $0.1*$ δ to  $1.0*$ δ; GUM Supplement 1 recommends the value  $0.2*$ δ.

#### <span id="page-25-0"></span>**4.5. Files**

On the Files page the following settings are edited:



- Result quantities with this option the user can enable the storing of the simulated values of the result quantities in a specific file. The activation of this option will increase the computing time.
- Input quantities this option enables the storing of the simulated values of the input quantities in a specific file. The activation of this option will increase the computing time.
- Create backup this setting enables or disables the automatic creation of backup copy file when saving an existing uncertainty analysis. Pre-defined formats of the file name are available in the select list.

## <span id="page-25-1"></span>**4.6. Colors of the quantities**

For each quantity can be selected different font color to display the short names of the quantities in general list. By default, the undefined quantities are with grey font, results with blue font and all other quantities with black font.

## <span id="page-26-0"></span>**5. Performing an Uncertainty Analysis**

The steps below outline the basic procedure for creating an uncertainty analysis with the GUM OMSys Software: 1. A new blank uncertainty analysis starts with the command **New** / button. Alternatively, the user can open and edit an existing analysis, and save it under a new name.

2. On the *Description* page in the view *Main data* the user can enter descriptive title and general description of the procedure.

3. The mathematical equations of the measurement process are entered in the page Model (see also the structure of the model equation). With the *Model analysis* command / button the program will analyze the model equations and produce a list of quantities.

4. Processing of the quantities - the quantity type and other necessary details are entered for the quantity, selected left in the list.

5. Observation data for quantities of type A are inserted in the view Observation.

6. The correlation coefficient between the input variables are analyzed or edited on the *Correlations* page in the view *Main data*.

7. In the Total budget page the user can activate the summarizing of the results in a table and set the parameters for the regression analysis or the correlation analysis of the result quantities.

8. With the selection of Expert analysis view an expanded analysis of the model is carried out. The software checks the conditions for the application of the different methods and determines the appropriate methods for the following calculation of the measurement uncertainty. With the Apply button the settings for the following calculation of the measurement uncertainty are set automatically. Manual selection and adjustment of the method for the uncertainty analysis is also possible.

9. With the selection of the *Budget* view the software applies the selected methods for the calculation of the measurement uncertainty. The result is displayed in the pages GUF and / or Monte Carlo in the view Budget.

10. Generated reports can be stored, printed, or sent via e-mail.

11. The uncertainty analysis is saved using the command / button *Save* or *Save as*. For large models with many quantities, it is recommended to save the analysis in different stages of the analysis process.

The button Automatic uncertainty analysis in the main toolbar performs the steps 8 and 9 – conducting the expert analysis, selecting the appropriate method (GUF, MCM) and calculating the measurement uncertainty.

**Note**: For non-linear models do not insert any additional contributions that take into account the higher order terms and this way correct the nonlinearity of the model - as in DKD-3 Example 4, uncertainty contribution u(δα, At). With the  $GUF-NL$  method for nonlinear models the program automatically determines the uncertainty contributions of higher order terms and takes them into account when calculating the combined measurement uncertainty.

## <span id="page-26-1"></span>**5.1 Validation of the GUM Uncertainty Framework Using the Monte Carlo Method**

The GUM uncertainty framework can be expected to work well only for linear and nonlinear models with symmetric distribution of the result quantities. Moreover, further requirements regarding covariance, degrees of freedom and probability distribution of the input quantities should be considered. It is not always straightforward to determine whether all the conditions for its appropriate application hold. Indeed, the degree of difficulty of doing so would typically be considerably greater than that required to apply the Monte Carlo method. Therefore, since these circumstances cannot readily be tested, any cases of doubt should be validated. Since the range of validity for the Monte Carlo method is wider, it is recommended to use both methods and compare the results.

The software automatically validates the results of the GUF Method by comparing the values, the combined standard uncertainties, and the limits of the coverage intervals. The numerical tolerance δ in this comparison is calculated based on the combined standard uncertainty and the number of significant digits (2 or 3). The program offers an alternative calculation of the tolerance δ as a percentage of the combined standard uncertainty.

Should the comparison be positive, then the GUM uncertainty framework can be used on this occasion and for sufficiently similar models in the future. Otherwise, consideration should be given to using MCM or another appropriate method instead.

#### <span id="page-27-0"></span>**5.2. Rounding of Numbers**

The numerical values in the uncertainty budget are rounded automatically according to the rules in EA - 4/02 point 6.3:

- The standard uncertainty, the combined uncertainty, the sensitivity coefficient, and the uncertainty contribution are rounded to the specified number of significant digits, default value is 3.
- The expanded uncertainty is rounded to the specified number of significant digits minus one, default value is 2. The software offers the possibility to enter separate special formatting for the value and expanded uncertainty for each result quantity.
- The value of each quantity is shown with the precision of the corresponding standard or expanded uncertainty.
- The coverage factor is rounded to three significant figures.
- For the roundness procedure, the rules for rounding from numbers are used (ISO 31-0: 1992, appendix B).
- If the numerical value of the uncertainty decreases due to the roundness by more than 5%, the roundedup value is displayed.

Decimal symbol for values when specifying the quantity, in measurements and evaluations is determined by the setting of MS Windows.

#### <span id="page-27-1"></span>**5.3. Pseudo-Random Number Generators**

In the editions *QMSys GUM Enterprise* and *QMSys GUM Professional* you can choose between many different generators.



By default, the CMWC4096 generator is used to produce the random numbers. In the menu Model-Preferences you can choose another generator.

## <span id="page-27-2"></span>**5.4. Versions of QMSys GUM Files**

QMSys GUM software saves the uncertainty analysis into binary files with extension "\*.gmf". The version of the file corresponds to the version number of the program, with which the file is last saved. There are different versions of the file structure (4.8 to 4.12, 5.1), all of which are backward compatible. A new version of the *QMSys* GUM software can open and process files created with the older program version, while the files saved with a newer software version are no longer readable with an older version.

The different editions of the *OMSys GUM software* (Enterprise, Professional, Calculator) use the same file structure. Therefore, files created e.g., with the *OMSys GUM Enterprise* can be opened with any other edition.

The model files for the Excel Add-In *QMSys GUMX* are prepared with the software editions *QMSys GUM* **Enterprise / Professional** and saved in the special format with extension "\*.gxl".

## <span id="page-28-0"></span>**6. Creating the Mathematical Model Equations**

In the upper field on the dialog page *Model* in the view *Main data* the equations of the mathematical model can be entered. The model equations are the starting point for all subsequent calculations by the software. It is always possible to insert new quantities into the equation, and to rename or to delete existing quantities. Additional functions are available in a toolbar above the equation field.



The individual equations are separated by a line break. With the *Model analysis* command / button or by leaving the analysis equation field the program will analyze the model equations and produce a list of quantities. Note that the equations in the equation field will not wrap around when their length exceeds the visible area. By using the scroll bar, which appears below the equation field, the right-hand side of long entries may be inspected.

The equation field can be resized. When placing the cursor right below the equation field, it will change into a divider symbol. By pressing and dragging, the proportion of the screen used for the equation field and the list of quantities can be adjusted. The field equation can change in size by clicking on the lower separation line and by moving it up or down.

#### <span id="page-29-0"></span>**6.1. Structure of the Model Equation**

The mathematical model represents the procedure of the measurement and the method of evaluation. It describes how the values of the output quantity are obtained from values of the input quantities. An ideal measurement model relating the input quantities to the output quantity is initially developed. The model is then augmented by terms constituting further input quantities, describing the effects that influence the measurement.

In the GUM approach the input quantities are characterized by probability distributions and treated mathematically as random variables. The probability distributions are chosen such that the estimates of the input quantities correspond the expectations of the probability distributions.

The estimated values of the input quantities, the influence factors and the measurement results are related as follows:

#### Result Quantity = f(Input quantities; Influence factors)

The right side represents an algebraic expression consisting of an appropriate combination of mathematical operators and functions, as well as numbers and input quantities. The algebraic expression is evaluated according to common mathematical rules of operation when the uncertainty analysis is performed.

The individual equations are separated by a line break.

Especially when the model equation is more complex and has a bigger number of input quantities it should be split into smaller parts (and thereby made easier to understand) by introducing interim result.

The equations for interim results have the same structure as the model equation itself. The order of the equations in the Equation field is irrelevant. For interim results the following rules must be followed:

1. Every interim result must be used on the right side of an equation.

2. Every interim result must be calculable without any direct or indirect use of its own value. Mathematical loops are not allowed!

3. It is allowed to use interim result multiple times on the right side of other equations.

For interim result no separated uncertainty calculation is done. The value is listed in the budget for transparency and traceability reasons.

Explanation texts inside of the model equation field must be enclosed with  $\{\}$ . Text in curly brackets will be ignored in the evaluation of mathematical equations.

The model equation can be changed at any time. With the *Model analysis* command / button or by leaving the analysis equation field the program will analyze the modified model equations and actualize the list of quantities. The Equation field can only be left if the equation is mathematically correct. If this is not the case, an error message will be displayed, and the cursor is positioned at the point where the error was detected.

If any changes to a quantity name have been made, the new name will replace the old name in the quantity list. All data connected with the old quantity will also be deleted.

A change of a quantity name without losing the assigned data can be made in the list of quantities with second click on the selected quantity or with double click when selecting the quantity. Additional Greek characters are available over the combination of keys "Ctrl +  $s$ " or over the context menu of the right mouse button. Superscript and subscript formats are available over the combinations of keys "Ctrl + Up Arrow", "Ctrl + Down Arrow" or over the context menu of the right mouse button. By pressing *ENTER* for confirmation after the name was changed (or by leaving the quantity name field) the model equation is updated automatically, and the corresponding entries are retained. The change of the quantity name can be cancelled with the *ESC* button.

#### <span id="page-30-0"></span>**6.2. Operators and Functions**

Operations with higher priority are executed before those with a lower priority. In case of equal priority, execution proceeds from left to right, except when raising to a given power, in which case execution proceeds from right to left. The sequence of operations can be controlled by putting certain parts in parentheses and thereby giving them a different priority.

Function names are reserved names and should not be used as quantity names. The arguments for the trigonometric functions should be expressed in radians. Likewise, the result of any arc-function will be given in radians.

Following table lists the possible mathematical operators and functions:



## **Error function**



### <span id="page-32-0"></span>**6.3. Models with Indexed Quantities**

The indexed quantities can be used for simplifying the models in following cases:

- Models for measurement of area, volume, flow, and other quantities by using numerical integration methods (i.e., approximating definite integrals by finite sums)
- Models for calibration of measuring instruments at several points throughout the calibration range
- Models for calibration of sets of identical standards with different sizes gauge blocks, plug and ring gauges setting rings, measuring pins, scale weights, and others.

#### **Examples for models using the numerical integration method**

Measurement of liquid flow in open channels – mean-section method

$$
Q = \sum_{1}^{n} (b_{n+1} - b_n) \left( \frac{d_{n+1} + d_n}{2} \right) \left( \frac{\bar{v}_{n+1} + \bar{v}_n}{2} \right)
$$

Measurement of liquid flow in open channels - mid-section method

$$
Q = \sum_{1}^{n} \bar{v}_n d_n \left( \frac{b_{n+1} - b_{n-1}}{2} \right)
$$

In these cases, the software offers the possibility to define an index and using it in the reduced model equations. Indexes can be entered as interval, as list of index values or mixed:



The index name is placed in the model equations after the name of quantity in square brackets []. The first and last index values are absolute limits and when using indexing expressions such as [n-1] or [n+1] for the resulting index numbers outside the defined range will be used respectively the first or last index value.

Several examples of models with indexed quantities can be found in the folder "../Examples/ Models with indexed quantities".

Example of model for numerical integration by using indexed quantities:



In the model equations are used the main quantities with index [n], and the values of each sub-quantity with the respective index are individually edited in the tables. When using import from Excel the program automatically initializes the appropriate cells to the quantities with the corresponding indexes.



The button "Preview of the equations" in the toolbar of the model field shows a window with the full form of the model equations:



#### **Examples for measurement at several points throughout the calibration range**

The indexed quantities can also be used for simplifying the models for calibration at several points throughout the calibration range of the measuring instruments.

Example of model for calibration of piston-operated pipettes at three points:



#### <span id="page-35-0"></span>**6.4. Changing the Order of the Quantities**

By default, the quantities are sorted in the list by the order of appearance in the model equation.

The order of the quantities can be changed with the button  $\frac{9}{2}$  if necessary. This order is saved in the uncertainty analysis file. The next time the file is opened, the quantities will be displayed in the list in the specified order. The order of the quantities is used in all budgets, lists, reports, and exports.



Following sorting options are available:

By model - the order of the quantities results from the order in which they appear in the model equation.

By name - the quantities are sorted alphabetically by the identification in the model equation. The Greek letters are sorted alphabetically after the Latin letters.

By Type - the quantities are sorted according to their type as follows:

- Results
- Interim results
- Type A
- Type B Expanded uncertainty
- Type B Standard uncertainty
- Type B Limit of error
- Type B Relative limit of error
- Type B Molar mass

- Type B probability distribution - these quantities are also sorted by the type of distribution - normal distribution, log normal distribution, t-distribution, rectangular distribution, triangular distribution, trapezoidal distribution, square distribution, U-shaped distribution, cosine distribution, 1/2 cosine distribution, exponential, and curvilinear trapezoidal distribution.

Custom - the user can adjust the order of the quantities by selecting one quantity and dragging to the new position, or by the Move up and Move down buttons.

When adding quantities to the model equation, the previous selected sort order will be maintained, and the new quantities will appear at the beginning of the list. In this case, the quantity order needs to be maintained manually or a sort option needs to be selected.
### **7. Quantities**

The *Model* page in the view *Mean data* holds the relevant data for every quantity of the uncertainty analysis. To edit the data of a quantity, it should first be selected from the left list. The current data, associated with the selected quantity, is displayed, and may be edited.

Each quantity can be assigned:

- short definition in the Name field
- type of the quantity
- units for the quantity value and the standard uncertainty
- documentation text.

Within the Type field every quantity is assigned to an evaluation type, selected from a list. All other fields are dependent on the type of quantity.

The following diagram shows the different quantity types with their respective subtypes. They are described in detail on the following pages.



### **7.1. Result Quantities**

The type of the result quantities is detected and set automatically according to the mathematical model.



#### Automatic distribution fitting

This option activates the fitting of the observed distribution to a theoretical distribution. The value of the coverage factor is determined automatically to the selected distribution and the specified coverage probability.

Distribution and Calculation of the coverage factor:

- Normal distribution enter the necessary value into one of the coverage probability and coverage factor fields. Using the Normal distribution, the program will find the other value and will insert these values into the budget table.
- t-distribution it is assumed that the distribution of the result can be described with a t-distribution and the established degrees of freedom which are calculated by the Welch-Satterthwaite formula. Enter the necessary value into the coverage probability field. Using the t-distribution and the estimated degrees of freedom, the program will calculate the coverage factor.
- Rectangular distribution enter the necessary value into the coverage probability field and the program will calculate the coverage factor automatically.
- Triangular distribution enter the necessary value into the coverage probability field and the program will calculate the coverage factor automatically.
- Trapezoidal distribution enter the necessary values into the coverage probability field and shape factor field, the program will calculate the coverage factor. Calculation of the shape factor is offered by activated Monte Carlo method.
- Symmetric mixed distribution you can enter any validated value of the coverage probability and coverage factor.

For result quantities with asymmetric distribution, the program estimates the shortest coverage interval, the asymmetric expanded measurement uncertainty and asymmetric coverage factor (GUM Supplement 1).

Format of the expanded uncertainty: Absolute; Absolute and relative; Absolute and relative in %; Absolute and Relative in ppm.

Calculation of tolerance δ for GUF / MCM validation - the numerical tolerance δ is calculated on the basis of the combined standard uncertainty and the number of significant digits (2 to 5). The software offers an alternative calculation of the tolerance δ as a percentage of the combined standard uncertainty, which allows a more accurate validation with a given probability.

Proof of capability and compliance assessment - in this area, the settings for the verification of the capability of the measuring system respectively the measurement process and for the conformity assessment of the measurement results can be specified. The limit values can be imported from an Excel file.

### **7.1.1. Proof of Capability of Measurement Processes and Measuring Systems**

The basic approach is to set the uncertainty in relation to the tested tolerance and to use this relation as an evaluation criterion. The program offers several capability indexes for evaluating the capability of measuring systems and measurement processes:



 $*T$  – Tolerance or distribution interval, U<sub>MP</sub> – expanded uncertainty of the measurement process, U<sub>MS</sub> – expanded uncertainty of the measuring system.

For result quantities with asymmetric distribution in the above formulas is used the coverage interval instead of  $2*U$ . In the calibration or verification of a measuring instrument, a specified requirement is often expressed in terms of a maximum permissible error (T=2\*MPE). For one-sided tolerances is entered the distribution interval of the values, given from the manufacturing process.

To classify measuring systems and processes, the software calculates the minimum tolerance, at which the measuring system or the measurement process is currently still capable.



### **7.1.2. Compliance Assessment of Measurement Results**

The software offers several decision rules in accordance with the guide ILAC-G8:09/2019:

#### • **Non-binary statement with guard band (w = U)**



#### The evaluation follows ILAC-G8:09/2019, par. 4.2.3:



The measurement uncertainty is considered. Evaluation results are reported as:

- Pass the measured result is inside the acceptance limits
- Conditional Pass the measured result is outside the acceptance limits but inside the specification limits

- Conditional Fail - the measured result is outside the specification limit by a margin less than the measurement uncertainty

- Fail - the measured result is outside the specification limit by a margin more than the measurement uncertainty

- N/A - uncertainty is greater than the stated tolerance, therefore it is not possible to determine compliance or otherwise.

#### • **Binary statement for simple acceptance rule**



#### The evaluation follows ILAC-G8:09/2019, par. 4.2.1:



The measurement uncertainty is not considered. Evaluation results are reported as:

- Pass the measured result is inside the specification limits
- Fail the measured result is outside the specification limits.

#### • **Binary statement with guard band**



The evaluation ILAC-G8:09/2019, par. 4.2.2:



The measurement uncertainty is considered. Evaluation results are reported as:

- Pass the measured result is inside the acceptance limits.
- Fail the measured result is outside the acceptance limits.

- N/A –uncertainty is greater than the stated tolerance, therefore it is not possible to determine compliance or otherwise.

While it is common to use a guard band  $w = U$ , there may be cases where a multiplier other than 1 is more appropriate. The user can define arbitrary multiple of U between -3 and 3 to have applied as guard band.

The table below provides examples of different guard bands to achieve certain levels of specific risk, based on the customer application:



PFA - Probability of False Accept, PFR - Probability of False Reject

### **7.2. Interim Results**

The type of *Interim result* is detected and set automatically according to the mathematical model. Only following data can be edited: short definition in the *Name* field, type of the quantity, units for the quantity value and the standard uncertainty, and a documentation text.

Switching to the type "Result" is possible; in this case the uncertainty budget is also calculated.

### **7.3. Type A Input Quantities**

For repeated observations evaluated by statistical methods, the following data are necessary to define:

Method of observation:

Direct - Observations (individual values or group values) are entered directly in the observation table. The number of measurements is equal to the number of observations.



Indirect – the examination is performed in cycles. The reference value and the unknown value are measured alternately. Each reading is identified through "V + No. of the reading". The value of the observation is calculated by the formula in field Evaluation.



Direct / Indirect with import from a MS Excel file - the observations (individual values) or the readings are read directly, verified and written into the table for the observations. Existing values are overwritten.



Uncertainty evaluation - in this field, the user can switch between experimental determination of the standard deviation or giving a pooled estimate from a prior evaluation:

- Experimental  $-$  this type offers two uncertainty estimates:
	- Standard uncertainty with normal or t-distribution
	- Bayesian standard uncertainty with t-distribution (GUM Supplement 1)

The Bayesian evaluation is a useful extension for small numbers of observations (usually smaller than 10). It is possible with the Bayesian evaluation completely to avoid the using of the effective degrees of freedom calculus in the uncertainty evaluation.

- Pooled estimate this type offers three uncertainty estimates:
	- Standard uncertainty with normal or t-distribution
	- Standard deviation of the sample with normal or t-distribution
	- Bayesian standard uncertainty with t-distribution (GUM Supplement 1)

The following table provides an overview of the calculation formulae, the minimum number of observations and the minimum degrees of freedom for the different types of Uncertainty evaluation.



Number of observations (n) – this field contains the number of individual values or group values, used for the evaluation. The number of readings is determined according to the selected method of observation.

The field Number of observations can take value 1, if the field Uncertainty Evaluation has been set to Pooled estimate.

### **7.4. Type B Input Quantities**

The Type B evaluation of standard uncertainty is the evaluation of the uncertainty associated with the estimate of an input quantity by means other than the statistical analysis of a series of observations. The following options for entering the input parameters of type B input quantities are available:

• **Expanded uncertainty** – Distribution, Degrees of freedom, Value, Expanded uncertainty, Coverage factor and Coverage probability are requested. The data for the input quantity come from an uncertainty analysis or a certificate.



**Standard uncertainty** - *Distribution, Degrees of freedom, Value* and *Standard uncertainty* are requested. The data for the input quantity come from an uncertainty analysis, a certificate or a scientific judgement based on all available information on the possible variability of the input quantity.



• **Limit of error** - Value and Limit of error are requested. The information comes from manufacturer's specifications, data provided in calibration and other certificates.



The expanded uncertainty, the standard uncertainty and the limit of error can be calculated relative to the estimate of the same or a different input quantity. In this case after the field for the uncertainty is selected one of the following units: "(relative)", "% (rel.)", "‰ (rel.)" or "ppm (rel.).

The measurement uncertainty, respectively the limit of error, is calculated by using the linear equation:

 $U = C + W^*X$ where:

 $U$ - expanded or standard uncertainty, respectively limit of error

- $X$  estimate of the same or a different input quantity
- $C$  constant term of the measurement uncertainty in the quantity unit
- $W$  relative term (slope) of the measurement uncertainty.

This formula is often used to indicate in the calibration certificate the expanded uncertainty of the measuring instrument. The parameters are entered in the same order C;W in the field of the measurement uncertainty, separated by semicolons. If the constant is zero, only the relative term (slope) of measurement uncertainty should be entered.

Examples:





• **Relative limit of error** - Distribution, Value, Relative limit of error and Minimum absolute limit of error are requested. The information comes from manufacturer's specifications, data provided in calibration and other certificates.

The software offers the following distributions:

- Normal distribution
- Rectangular distribution
- Triangular distribution
- U-shaped distribution.

The relative limit of error can be entered in %, ‰ or ppm, relative to the estimate of the same input quantity. When the normal distribution is selected, instead of error limits the relative standard uncertainty and the minimum absolute standard uncertainty are entered.

If the absolute limit of error, calculated based on the relative error and the quantity estimation, is greater than the entered minimum limit of error, then it is used in the calculation of the standard uncertainty; otherwise, the entered minimum limit is used for the further calculation of the standard uncertainty.

Example: The accuracy of a temperature probe is  $\pm 2.2$  °C or  $\pm 0.75$  % of reading (whichever is greater) in the range 0-800 °C.

Reading  $*$  Relative limit of error = 400°C  $*$  0,75% = 3,0°C Limit of error = MAX (3,0°C ; 2,2°C) =  $3,0$ °C Standard uncertainty =  $3.0^{\circ}$ C / SORT(3) =  $1.73^{\circ}$ C



Reading \* Relative limit of error =  $250^{\circ}$ C \* 0,75% =  $1,875^{\circ}$ C Limit of error = MAX  $(1,875^{\circ}C; 2,2^{\circ}C) = 2,2^{\circ}C$ Standard uncertainty =  $2,2$ °C / SQRT(3) =  $1,270$ °C



• **Probability distribution** – a known or assumed distribution is given. Additional parameters of the selected distribution will be requested.





#### **Triangle distribution**



#### **U-shaped distribution**





**Definition options of the probability distributions for type B evaluation of the standard uncertainty** 

\* Only for visualization purposes.

\*\* Parameters are interdependent and are recalculated automatically when one of them is changed.

Relative uncertainty errors - the relative error of the summarized estimated value of the uncertainty is entered in %. The program calculates the number of effective degrees of freedom for the inputs type B according to GUM, Equation G.3.

• **Molar mass** – this type offers automatic calculation of the molar mass, the associated standard uncertainty, and the distribution type of chemical compounds. To calculate molar mass of a chemical compound, enter its formula. The software supports both types of formula - molecular and condensed structural, which can contain only letters, numbers, and parenthesis ().



#### Chemical elements can be selected from a list with the "+" button:



The first row in the list opens a table with the standard atomic weights and the stated uncertainty of all chemical elements. The atomic weights and the uncertainty of the selected element in the list can be edited. The "!" button in the formula field opens a window with the elemental composition of the compound:



The uncertainty in the molar mass of the compound is determined in accordance with the **EURACHEM/CITAC** Guide CG 4 Quantifying Uncertainty in Analytical Measurement. For each element, the standard uncertainty is found by treating the IUPAC quoted uncertainty as forming the bounds of a rectangular distribution. The corresponding standard uncertainty is therefore obtained by dividing those values by  $\sqrt{3}$ . The uncertainty contribution of each element is calculated by multiplying the standard uncertainty by the number of atoms. The contributions from different elements are independent, therefore the standard uncertainty of the compound is a square root of the sum of the squares of the element contributions. The reference sources for the standard atomic weights and the stated uncertainty are:

- CIAAW Atomic weights of the elements 2013
- NIST Standard Reference Database 144, Atomic Weights and Isotopic Compositions for All Elements

• **Import from GMF model files** – this type allows the user to reuse the results of other uncertainty analyses previously saved as GMF files for the current analysis.



File - with the button  $\cdots$  a new GMF file is selected and with  $\vee$  an already linked GMF file. The version of the imported GMF file must be version 4.10 or later.

Quantity - selection of the result quantity whose parameters will be imported; in the next fields the distribution and the units of the result quantity are displayed. The button  $\blacksquare$  imports the units of the result quantity in the selected input quantity.

Value, Standard uncertainty - both the value and standard uncertainty of the result, as well as just the value or standard uncertainty, can be imported by entering "V" and "u". The imported numbers can be converted, e.g. if "u $*1000$ " is entered in the first field, the imported standard uncertainty is multiplied by 1000. The operators " $+$ , -, \*, / " are allowed. The comment of the result quantity can also be imported to the selected input quantity.

With the *Refresh* button, the data of the selected input quantity will be read again and updated, and with the Refresh all button, the data of all input quantities will be updated.

#### **7.5. Use of units**

The software offers a sufficient database with almost all SI units and some other common units according to ISO/IEC 80000. In addition, the software checks the use of all units according to the model equations entered.

#### **Catalog of Units**

The catalog is opened via the menu "Model -> Catalog of units".



#### **Insertion of new units**

New custom measurement units can be added to the database with the *Insert* button. Editing and deleting is possible only for the custom units; standard units cannot be changed.



When defining new units, the basic unit in the SI system is selected in which the corresponding quantity is measured. If no basic unit is selected, the entered unit will be considered as the basic unit for the corresponding quantity.

The factor of the new custom unit to the basic unit must be entered. If the entered unit is also basic unit for the corresponding quantity, the factor is equal to 1.

When checking the model equations, the used units are translated into the SI base units, so it is recommended also to enter the translation into the seven SI base units (m, kg, s, A, K, mol, cd). If the basis unit is standard SI unit, the program automatically fills the expression into the SI base units.

Prefix notation for new custom measurement units can also be added.

#### **GUM Enterprise / Professional / Calculator / Excel Add-In Assigning Units**

Each quantity introduced in the model equation can be assigned a unit in the following way:

- Direct input of the unit or part of the unit in the field - the software opens a list of matching units from the catalog



#### - The name of the unit can also be entered as a search text



#### - Units with prefix can also be searched



### - Selection window through the field menu Select



In the fields for units, you can use the context menu of the right mouse button to insert Greek symbols, or to format the characters as superscript or subscript.

In addition, a unit with a different prefix can be selected for the measurement uncertainty.

#### **Unit Validation**

After the units have been defined for all quantities, the consistent use of the units according to the rules of the SI system can be checked in the "Validation of the measurement units in the equations" view.



Equations with inconsistent use of units are marked with red font. Below are the magnitudes and unit check results for the selected equation.



After changing the inconsistent units, you can use the button  $\left(\phi\right)$  to update the unit validation.

Validation of the units does not guarantee that the model equation is also suitable for the intended use and that the calculated values are correct. It only checks whether the use of the units is formally consistent.

If the usage of the units is not consistent, the units or the model should be changed. The warning indicates that it cannot be automatically decided whether the use of the units is consistent. The user decides whether either the warning can be ignored, or whether the units or model should be changed.

When a file is opened, it is checked whether the units used are in the catalog and whether the use of the units has been verified. The result of the check is displayed in the status bar. Selecting the warning with the mouse button hides the pop-up menu for inserting missing units or checking units.



When units that are missing from the catalog are found while loading the model, a window opens in which these units can be replaced or entered in the catalog of measurement units.

The result of the unit check is displayed and saved by the corresponding message. The next time the file is loaded, the result of the unit check is also visualized if necessary.

#### Kelvin (K) and Degree Celsius (°C)

If quantities are defined in an equation whose units use both  $\degree$ C and K, there is a possibility that absolute and relative temperature specifications are not used correctly. The user should either avoid mixing °C and K, or carefully check that the units have been used correctly.

#### Conversion factors

In the model equations you have to insert the conversion factors (factors for converting one unit into another unit) as quantities of the constant type and enter the correct value with the correct unit.

#### Scaling factors

Unit scaling factors (e.g., mg/mL to mg/L) may be entered into the model equations.

#### **Defining a supplementary system of measurement units**

The software allows the defining of supplementary system of units for all units, used in the model equations. The supplementary system is saved in the model file, and the user can with simple click switch between the systems.

It is necessary to enter the designations of the basic and the supplementary system of units, and to select from the catalog the corresponding units of the supplementary system.



#### **7.6. Settings in register Total budget for multiple result evaluations**

In the page *Total budget*, you can activate the summary of the results in a table and set the parameters for the charts or the correlation analysis of the resulting values.



For the summary table of the results are selected the columns to be displayed in the table.

In the chart settings, you can enter the name of the basic quantity, which is used as a label for the horizontal axis of the chart. Optionally, a symbol for the basic quantity can also be entered. The results are selected in the table to be included in the chart. For each result in the second column is selected the basic quantity, the value of which will be used for the horizontal axis of the diagram. Automatic entering of the basic quantity is possible with the built-in search function.

The type of chart is selected in the total budget view, and the software offers the following options:

- Regression analysis and calculation of the equation of the expanded measurement uncertainty for a certain measurement range
- Scatter or line chart with option for logarithmic scaling of the horizontal axis.



A column for data series can be added to the chart settings table by selecting the respective option. Individual data series are marked with successively increasing numbers or with names and are only considered in the line or scatter chart types; regression analysis is calculated over all data. Additionally, a column for the maximum permissible uncertainty can be added and the values for each result can be entered.

The buttons in the header row of the last two columns automatically fill in the selected value in the corresponding column in the following rows to the end of the table.



### **8. Observations**

The view *Observation* processes the values of repeatedly observed quantities. The data is typed into a table, the structure of which depends on the method of observation. All readings and observations must be given in the same unit, as the one entered for the quantity value. If the model uses several type A quantities, the observed quantity can be selected at the upper border of the window.





When valid data for all observations (or readings) have been entered, the statistical information including the mean value, the standard deviation, the standard uncertainty, and the Histogram of the data will be displayed.

With at least 3 observations following tests are performed:

- Kolmogorov-Smirnov-Lilliefors fit test for normal distribution at 99% confidence level

- Grubb's outlier test at confidence level P =  $95%$  and P =  $99%$ ; outliers are marked in the column "No." accordingly by "\*" or "\*\*".

In parenthesis are shown the test statistic (T) and the critical values (K).

In the Correlations page, the measurands can be analyzed for possible correlation. A prerequisite for a correlation analysis is that the number of observations of the two quantities must be equal and that all of the observations are filled in and are valid.

### **8.1. Import of Measured Values from the Clipboard**

The button *Import measured values from the clipboard* after the unit of the quantity or in the menu *Functions* imports measured values from the clipboard for an observed quantity. The data are read in, checked and inserted in the observation table. Any existing data will be replaced by the imported data.



#### **8.2. Import of Measured Values from Microsoft Excel Files**

This feature in the software editions GUM Enterprise, GUM Professional, and GUM Calculator allows the reading and import of measured values for an input quantity of type A from an MS Excel file. The measured values must be ordered in the Excel table in a row or column.

Using names for cell areas is also supported - in this case, the name is entered in square brackets, e.g. [values]. Upper / lower case must be considered. The area can contain multiple rows and columns, the measured values will be read line by line.

Empty cells are not allowed in the defined region. The measured values are read from the file, validated and imported into the table for the observations. Any existing data will be replaced by the imported data.



### **Import of measured values for type A indexed quantities**

When using import from MS Excel for indexed quantities the user must define the cell references only for the main quantity and the program will automatically initialize the appropriate cells to the sub-quantities with the corresponding indexes. Using names for cell areas is also supported - in this case, the name is entered in square brackets, e.g. [values].

Following options for importing measurements from MS Excel are offered:

### • **Measured values of each index quantity are arranged by columns**



### • **Measured values of each index quantity are arranged by rows**



If in the defined area are empty cells, the software will automatically reduce the number of observations for the corresponding sub-quantity. At least 1 measured value must be entered in the Excel file for each sub-quantity.

### **9. Import of Data from Microsoft Excel Files**

The software editions GUM Enterprise, GUM Professional, and GUM Calculator support an import of data from MS Excel files (version 2016 and newer, \*.XLS, \*.XLSX) to all types of input variables. To import data from Excel files the MS Excel application should be installed correctly. The import is based on the OLE automation interface. It starts the MS Excel software in the background as soon as an analysis imports data from an Excel file.

The import from MS Excel is activated by checking the option *Import from MS Excel*. In the File field the name of the Excel file is entered. Alternatively, the first button in the same box opens a search window for selecting the Excel file. In the second box the worksheet, which contains the data to be imported, is selected. The selected MS Excel file can be opened by clicking on the  $\mathbb{C}$  button.

Values from one Excel file can be imported to multiple input quantities and an uncertainty analysis can be linked to multiple Excel files. Already linked Excel files are displayed in the list of the Name field. The last linked Excel file is automatically suggested when processing the next input quantities.



In the corresponding cell fields should be entered the names of the cells in the same spelling of MS Excel, from which the *OMSys GUM software* should read the respective values. In the right field the read value is displayed. It is allowed to import only certain parameters and to the other parameters, the values are entered in the right field.

Small formulas can be entered in the cell fields, when a conversion of the read value is needed; for example, calculation of the half-width of the limits of a rectangular distribution, when the entire interval is read from the Excel file.

With the Refresh button, the data of the selected input quantity will be read again and updated, and with the Refresh all button, the data of all input quantities will be updated.

The program automatically saves the relative file path if the Excel file is in the same folder or in a subfolder to the GMF file. Otherwise, the absolute file path is stored. When you copy or move the files, the relative position of the Excel file to the GMF file is maintained. The folder structure, in which the files are stored, can be shifted as a whole or common parent folder can be renamed, but they should not be changed relative to each other. Otherwise, the *OMSys GUM software* will not find the Excel file. Source Excel files should not be moved if the absolute file path is saved.

#### **Import for indexed quantities - type B, constants, results**

Cell references for the respective quantity parameters are entered in the same manner as in MS Excel in the row "Import from":



Entering individual cell references for each sub-quantity is also possible.

Using names for cell areas is also supported - in this case, the name is entered in square brackets, e.g. [values]. The number of cells in the area should not be less than the number of index elements, otherwise the parameters of the last sub-quantities will remain empty. If the area contains multiple rows and columns, the values are read by columns.

Example:



### **10. Correlation matrix**

In the *Correlations* page, known correlations between the input quantities are entered in a matrix of correlation coefficients. To change the correlation coefficient between two input quantities, the corresponding cell that belongs to the two values is selected and the value is entered or changed. The coefficients value has to be in the range  $-1$   $\le$   $\le$  value  $\le$   $+1$ . The matrix value is updated as soon as change is committed by pressing the Enter key or by selecting a different matrix cell.

The Correlation analysis for type A quantities  $\mathbb{E}$  button starts an analysis for possible correlations between two types A quantities. If the number of observations of two measurands is the same for both of them and all of the values are valid, a correlation coefficient is computed. A correlation coefficient is not calculated if one of both standard deviations is zero. After clicking OK in the *Correlation analysis* window, the selected rows from the correlation analysis will be inserted in the relevant cells of the correlation matrix of the model.

If new data is entered for quantities of Type A at a later time, a new correlation analysis should be considered. QMSys GUM Software will not automatically adjust the correlation coefficient to the new data.



Further input quantities are inserted in the correlation matrix with the button  $\mathbb{E}$ + Select / remove quantities.

The software checks automatically if the correlation matrix is positive semi-definite. All Eigen values of the positive semi-definite correlation matrix are non-negative (>= 0). If the correlation matrix is not positive semi-definite, it is recommended to perform an optimization with the button  $\sim$  *Optimization*.

#### Example:





Only a positive semi-definite correlation matrix can be used in an uncertainty evaluation. When correlations between input values are considered, then the description field should contain the reason why, and where the correlation coefficients came from.

### **11. Expert Analysis of the Model**

The view Expert analysis presents the results of the expanded analysis of the model. The software checks the conditions for the application of the different methods and determines the appropriate ones for the following calculation of the measurement uncertainty.

The following tests and calculations are performed:

#### **11.1. Linearity of the Model**

The program determines for each input quantity the nonlinearity of the model equation in the sixth areas of the distribution interval. As indicator of nonlinearity are used the relative differences between the sensitivity coefficients, calculated from the symmetric interval  $[x+u(x); x-u(x)]$  and from the one-sided intervals [x; x+0,5\*u(x)], [x; x+u(x)], [x; upper limit], [x; x-0,5\*u(x)], [x; x-u(x)], [x; lower limit]. The model equation is sufficiently linear, if the nonlinearity is less than a specified limit. The default limit is set to 0.05.

Additionally, the software displays all input quantities with invalid (zero) sensitivity coefficient. The reason for that problem can be a local minimum or maximum of the model function or a nonlinear relation between the result and that input quantity. Such nonlinearity should be seen in relation to the magnitude of the standard uncertainty associated with the quantity.

### **11.2. Validity of the Results of the Equivalent Linear Model**

The software uses the method according to the GUM Uncertainty Framework for the calculation of the results of the equivalent linear model. Here, the partial derivatives (the first term of a Taylor series) are determined to calculate the sensitivity coefficients and the combined standard uncertainty. The results of the quasi-real model are calculated by using the quasi-Monte Carlo method with Sobol sequences (50000 simulations) and a special algorithm for generating correlated values while maintaining the specified probability distributions.

The software validates the results of the GUF method for linear models by comparing the values and the combined standard uncertainties. The numerical tolerance δ in this comparison is calculated as a percentage of the combined standard uncertainty of the quasi-real model. The default percentage limit is set to 5%. The results of the linear model are validated if the absolute values of the differences do not exceed the specified tolerance δ.

#### **11.3. Symmetry and Type of the Probability Distribution of the Result quantities**

The program calculates the skewness of the real distribution of the result quantities and determines the appropriate theoretical distribution. The resulting distribution is considered sufficient symmetric if the skewness is smaller than the specified limit. The default limit is set to 0.5.

If the resulting distribution is sufficient symmetric, the software selects the most suitable from the following theoretical distributions:

- Normal distribution
- Rectangular distribution
- Triangular distribution
- Trapezoidal distribution with automatic determination of the form factor
- Mixed symmetric distribution with automatic determination of the coverage factor.

#### **11.4. Condition regarding the correlated input quantities in linear models**

For the correct calculation of the expanded uncertainty in a linear model with normal or t- distribution of the result quantity the model equation may not contain correlated input quantities with a finite degree of freedom. Otherwise, the GUF-NL method for non-linear models and the Monte Carlo method should be used.

#### **11.5. Conditions regarding the correlated input quantities and the probability distribution in nonlinear models**

For models with non-linear correlated input quantities or non-linear non-normal distributed input quantities the GUF-NL method for non-linear models and the Monte Carlo method should be used. These cases are not considered in GUM and GUM Suppl.1, but they can be analyzed with the *QMSys GUM Software*, because the software uses a special algorithm for generating correlated values for several input quantities while maintaining the specified probability distributions. This algorithm keeps the shape of the probability distribution of the input quantities unchanged, and the maximum deviation from the prescribed correlation coefficient is in the most cases smaller than 0.001.

#### **11.6. Selection of Appropriate Methods for Uncertainty Analysis**

The GUM methods (GUF, GUF-NL) for analysis of the measurement uncertainty are suitable only for models with symmetric distribution of the result quantities. Moreover, additional conditions regarding covariance, degrees of freedom and probability distribution of the input quantities (test points 4 to 6) must be met. Since the range of validity of the Monte Carlo method is wider, it is recommended to use both methods (GUF / GUF-NL and MCM) and to compare the results. The following table shows the possible situations in the selection of the appropriate methods for the uncertainty analysis.



The software checks the conditions for the application of each method and suggests the appropriate methods. With the *Apply* button, the methods for the following calculation of the measurement uncertainty are set automatically. Manual setting of the methods is also possible.



### **12. Measurement Uncertainty Budget**

The result of the analysis is presented in pages GUF, Charts and Monte Carlo of the Budget view.

#### **GUF-Budget**

The page GUF shows a clearly structured measurement uncertainty budget in a table form. This table holds all used quantities with their quantity names and values, the associated standard uncertainty and effective degrees of freedom, the sensitivity coefficient automatically derived from the model equation and the contribution to the standard uncertainty of the result of the measurement. The *Interim results* are only shown with the value and the standard uncertainty. Additional columns can be activated in the *Budget* menu.

The result quantity is displayed in the bottom line with its value, the corresponding combined standard Uncertainty and the degrees of freedom. Finally, the complete result of the examination is presented as a value with associated expanded uncertainty and automatically or manually selected coverage factor. The results are automatically rounded and displayed in E-Format if necessary.

The relative contribution of uncorrelated input quantity is calculated by the ratio of the square of the uncertainty contribution to the square of the combined standard uncertainty.

Where input quantities are not independent, the calculation of the relative contribution takes account of the entered correlation coefficients, after that for easier result interpretation the calculated relative uncertainty contributions are normalized. The sum of all relative uncertainty contributions is always equal to 100%.

#### **Charts**

The page Charts summarizes the information from the GUF-budget and helps the user quickly to identify the most significant sources of uncertainty. The software offers several types of charts and adjustable limit of the cumulative relative uncertainty contribution.

The table contains all input quantities forming the sum of the uncertainty contribution not less than the selected limit. The significant quantities are sorted in descending order of the uncertainty contribution.

For indexed quantities the software calculates and draws in the chart the cumulative uncertainty contribution of all sub-quantities for the respective main quantity. The individual uncertainty contribution of the sub-quantities is displayed only in the table.

#### **Monte Carlo-Method**

The Monte Carlo method displays a histogram, statistical parameters of the estimated distribution of the result quantities and validation of the results. For result quantities with asymmetric distribution the program estimates the shortest coverage interval, the asymmetric expanded measurement uncertainty and the asymmetric coverage factor.

The Total budget offers the following additional analyses:

- Regression analysis and calculation of the equation of the expanded measurement uncertainty for a certain measurement range
- Diagrams of the expanded measurement uncertainty for a certain measurement range
- Correlation analysis of the result quantities.

The software automatically validates the results of the GUF Method by comparing the values, the combined standard uncertainties, and the limits of the coverage intervals. The numerical tolerance δ in this comparison is calculated based on the combined standard uncertainty and the number of significant digits. The software offers an alternative calculation of the tolerance δ as a percentage of the combined standard uncertainty. Should the comparison be positive, then the GUM uncertainty framework can be used on this occasion and for sufficiently similar models in the future. Otherwise, consideration should be given to using MCM or another appropriate method instead.

#### **Example: GUF – Measurement uncertainty budget**



# **Example: Chart of the uncertainty contributions**





## **Example: Monte Carlo – Measurement uncertainty budget, symmetric distribution**





#### **Example: GUF – Measurement uncertainty budget, proof of capability, compliance assessment**



**Example: Monte Carlo – Measurement uncertainty budget, proof of capability, compliance** 



#### **Example: Total budget – summary table and correlation analysis**



### **13. Printing and Export to Microsoft Excel**

### **13.1. Printing of Measurement Uncertainty Report**

Printout in the program is made by configurable templates in RTF format (\*.RTF) with coded fields, e.g.  $$FA01#$ for individual fields and  $$TBO1#$  for table fields. The user can provide or adapt the report by using the coding of the fields from the standard report. The sequence of the individual fields or tables can be changed. The RTF Template can contain additional texts and pictures (Company Logo).

#### **Types of coded fields**



#### **The following rules however must be fulfilled:**

- The marking of a field consists of " $\frac{4}{3}$ " + "Field name" + "#".
- Individual data fields (\$F\*) and table data fields (\$T\*) cannot stand mixed in one table.
- Table data fields from different sources (\$TA\*; \$TB\*) cannot stand mixed in one table.
- Coded fields must be with the same font e.g. \$FA01# is correct, \$FA**01#** is wrong.

#### **Setting of custom templates**

The dialog window for selecting custom templates is called over *Function/Print/Template...* menu or over the Print/Template... button. Enter a designation of the report in the Name field and select the template file in the *File* field.



#### **Toolbar buttons**



When generating a report, the software automatically selects the corresponding standard template, if there are no custom templates selected. If there are set custom templates, the software selects the default custom template. Additional custom templates are selected for printing with the arrow on the *Print* button or over the Function/Print menu.

Generated reports can be printed, saved in a file with a selectable name or send by email.

#### **Standard templates**

The software editions GUM Enterprise, GUM Professional, and GUM Calculator include following standard templates:



The standard templates include the most important mean data, the observations with statistical evaluation and the budget data according to the selected method for analysis of the measurement uncertainty. These templates are compiled in the file "templates.data".

Copies of the standard templates are also located in the program folder Templates and can be adapted by entering additional coded fields, text, or pictures (Company Logo).

#### **Creating custom templates for the measurement uncertainty reports**

The following steps provide the procedure for creating your own report templates:

- 1. Open an appropriate standard template from the folder "Templates" with a text editor for RTF files (such as MS Word) and save it under a new name.
- 2. Make the desired changes. You can find the coding for field names and field contents in the standard coded fields template, which is opened with the  $\frac{|\mathbf{A}|}{|\mathbf{A}|}$  button in the Template settings window.
- 3. Save the changes in the new template.
- 4. Open the dialog window for the selection of custom templates over *Function/Print/Template...* menu or over the *Print/Template...* button.
- 5. Select the new template file with the  $\frac{Q_0}{Q}$  button and type a description of the template in the Name field.
- 6. Close the dialog with the OK button.

The new template is already set and can be selected for printing with the arrow on the Print button or over the Function/Print/{Template name} menu.

Appendix B shows an example of a report.
#### **Example 1: Excerpt from the standard template with all coded fields, field names are shown with both coding and text.**

#### **\$C0212# Description**



#### **\$C0712# Quantities description**



#### **Example 2: Excerpt from the standard template with all coded fields, field names are shown only with text.**

#### **\$C0212#**





#### **Example 3: Excerpt from the standard template with all coded fields, field names are shown only with coding.**

#### **Description**



#### **Quantities description**



## **13.2. Export to Microsoft Excel**

The *Export to MS Excel* is a useful feature to transfer data from an uncertainty analysis over the OLE interface to Excel files. With the command / button Export to MS Excel, a dialog window with the settings for the data transfer is open.



The data transfer starts when selecting the OK button. The program MS Excel is loaded in the background and according to the active options in the dialog window, the software creates a new workbook with multiple worksheets and fills them with data. Numbers and units are exported into separate cells. Appendix C shows an example of an export.

Note: Do not use the MS Excel program during the export, since otherwise the export operation can be disturbed.



## **14. Automatic Export of the Results in Configurable MS Excel Files**

In the imported Excel file, from which are read the data of the input quantities, can be automatically exported the parameters of the statistical analysis of the measurements and the results of the uncertainty analysis. The export is started manually or automatically after the calculation of the measurement uncertainty.

#### **Settings for the automatic export of the results in the imported MS Excel file**

The codes of the data for the automatic export are entered directly into the cells or in the comment field of the cells. The order of the data fields in the worksheet can be arbitrary. When the codes are entered in the cells, it is not allowed to enter any other characters or texts. If the codes are entered in the comment fields of the cells, the comments may contain additional texts. The use of the comment fields allows the multiple use of the same Excel file.

#### **Examples:**



Following table provides an overview of the codes for the input quantities of type A:



Following table provides an overview of the codes for the result quantities, depending on the method of calculation of measurement uncertainty:



Inserting the letter "U" in front of the character "#" will export the corresponding values with the selected unit, for example, \$G02I01U#.

In case of exporting several input or result quantities, the field codes are entered for each individual quantity in the corresponding cells. The two-digit number following the letter "I" corresponds to the order number of the input quantity in the view "Observation" respectively the result quantity in the view "Budget".





## **Exporting the results in editions** GUM Enterprise, GUM Professional, **and** GUM Calculator

The export is started manually or automatically after the calculation of the measurement uncertainty. By default, the generated Excel file with the measurement uncertainty results is saved under a different name. At the first export of the current model, the program automatically displays a dialog window for entering the new name and selecting the folder, where the file will be saved. The new file name will be used in the following calculations to measurement uncertainty budgets until the model is closed. At the next opening of the model, the program automatically shows the window for entering the name of the export file.

In the program Preferences and Properties dialog windows are available additional setting options for exporting the results in the same import file, for automatic export after any calculation of measurement uncertainty and automatic opening the generated file for further editing.

Switching on the automatic export is displayed in the status line of the program.

## **15. Determination of Uncertainties in Flow Measurement in Open Channels**

The edition *QMSys GUM Enterprise* is designed for the assessment of uncertainty in flow measurements made using the velocity-area method and computed by the mid-section and mean-section method described in the standards ISO 748:2007 and ISO 1088:2007.

The software offers the following methods for estimating the sources of uncertainty:

- ISO 748, ISO 1088 method
- FLow Analog UnceRtainty Estimation (FLAURE) method
- $Q+$  method
- Interpolation Variance Estimator (IVE) method

Several methods for determination of the mean velocity in a vertical are included:

- Reduced point method
- Velocity distribution method
- Integration method
- Surface one-point method

The table below lists the possible combinations:



Basic quantities (sources of uncertainty) with automatic calculation of the measurement uncertainty for the respective evaluation methods:



When selecting the type of Measurement of flow in open channels, the software shows the following additional windows:

• Additional settings for automated calculation of uncertainty components, depending on the selected evaluation method



Observations / Discharge for entering the measurements of width, depth, and point velocity in each vertical



#### • *Discharge* - a summary of the results is presented in this window



Documentation - in this window are entered data about the location and measurement conditions



## **15.1. Development of the Models for Flow Measurements**

In the software folder *Examples* subfolder *Basic models for flow measurements* are located the model files for the most used evaluation methods for mean velocity, discharge, and measurement uncertainty. These models include all basic quantities for the respective uncertainty evaluation method and can be extended with quantities for additional uncertainty sources.

In the models is defined a dynamic index for the number of verticals by entering only the index designation. The dynamic index allows using the same file for measurements with different number of verticals – after entering or importing the measurements the software automatically updates the index n.



All quantities with additional settings for automated uncertainty evaluation are of type  $B - Standard$  uncertainty in absolute units. An exception makes only the quantity  $Q_m$  for the discharge uncertainty due to the limited number of verticals, that is of the type  $B -$  Standard uncertainty in absolute unit or in %, calculated based on the computed discharge Q.





Quantity  $Q_s$  for the discharge uncertainty, due to variable responsiveness of the current-meter, width measurement instrument and depth sounding instrument, is defined as normal quantity type  $B - Standard$ uncertainty in %, calculated based on the discharge Q.

The software allows the defining of supplementary system of units for all units, used in the model equations. The supplementary system is saved in the model file, and the user can with simple click switch between the systems.



The basic system of units of the developed models is SI, additionally are developed two models for ISO and IVE - Mid-section - Reduced point methods with USCS (English) basic system of units.

## **15.2. Basic Models for Flow Measurements**

#### Basic models for ISO method and FLAURE method



## Basic models for Q+ method

Mid-section method index n  $Q = SUM((ABS(B_{[n+1]} - B_{[n-1]})/2) * (D_{[n]} + D_{m[n]}) * V_{[n]}) + Q_s$  $V_{[n]} = V_{p[n]} + V_{c[n]} + V_{e[n]} + V_{m[n]}$ • Mean-section method index n  $Q = SUM(ABS(B_{[n+1]} - B_{[n]}) * ((D_{[n+1]} + D_{m[n+1]} + D_{[n]} + D_{m[n]})/2) * ((V_{[n+1]} + V_{[n]})/2)) + Q_s$  $V_{[n]} = V_{p[n]} + V_{c[n]} + V_{e[n]} + V_{m[n]}$ 

## Basic model for IVE method



## **15.3. Additional Settings**

This window is divided into the following areas:

• Selecting the methods for estimating the uncertainty sources, discharge computation and determination of the mean velocity in the verticals. When *Reduced point method* is selected, the software displays additionally a table of the computational rules according to ISO 1088:2007, with possibility to select the appropriate formula for 1, 3 and 6 points.



• Input quantities for measured distance, depth and velocity, and limit for the relative discharge in the segments



Settings for automatic uncertainty evaluation of the basic input quantities depending on the selected method – for each uncertainty source is selected the respective input quantity, and the uncertainty values are entered.

## **15.3.1. Settings for ISO Method**

## $\triangleright$  Uncertainty in the measurement of distance from initial point

Absolute or relative standard uncertainty is entered in the table for particular range of width. Inserting new rows or deleting existing rows is done with buttons in front of the table. In the table for the standard uncertainty, instead of a number can be entered a formula with the measured width B as a parameter.

In the list box, the model quantity of type  $B -$  Standard uncertainty is selected, associated with this source of uncertainty.

During the calculation for the particular vertical, the relative uncertainty is converted to absolute uncertainty and is associated to the selected input quantity. For values above the maximum range is taken the uncertainty of the last row.



## $\triangleright$  Uncertainty in the measurement of depth in the verticals

Absolute or relative standard uncertainty is entered in the table for particular range of depth. Inserting new rows or deleting existing rows is done with buttons in front of the table. In the table for the standard uncertainty, instead of a number can be entered a formula with the measured depth D as a parameter.

In the list box, the model quantity of type  $B -$  Standard uncertainty is selected, associated with this source of uncertainty.

During the calculation for the particular vertical, the relative uncertainty is converted to absolute uncertainty and is associated to the selected input quantity. For values above the maximum range is taken the uncertainty of the last row.



## $\triangleright$  Uncertainty of mean velocity due to limited number of points in the vertical

Reduced point method - absolute or relative standard uncertainty is entered in the table for the number of points in the vertical.

In the list box, the model quantity of type  $B -$  Standard uncertainty is selected, associated with this source of uncertainty.

During the calculation for the particular vertical, the relative uncertainty is converted to absolute uncertainty and is associated to the selected input quantity.



Velocity distribution method - the software offers options for automatic calculation, based on the measurement data by using the method in [3] or entering absolute or relative standard uncertainty for the number of points in the vertical.

In the list box, the model quantity of type  $B - Standard$  uncertainty is selected, associated with this source of uncertainty.

During the calculation for the particular vertical, the relative uncertainty is converted to absolute uncertainty and is associated to the selected input quantity.



Integration method and Surface one-point method - absolute or relative standard uncertainty is entered in the field.

In the list box, the model quantity of type  $B -$  Standard uncertainty is selected, associated with this source of uncertainty.

During the calculation for the particular vertical, the relative uncertainty is converted to absolute uncertainty and is associated to the selected input quantity.



#### $\triangleright$  Uncertainty in point velocity measurement due to current-meter rating error

Absolute or relative standard uncertainty is entered in the table for particular range of velocity. Inserting new rows or deleting existing rows is done with buttons in front of the table. In the table for the standard uncertainty, instead of a number can be entered a formula with the measured velocity V as a parameter.

In the list box, the model quantity of type  $B -$  Standard uncertainty is selected, associated with this source of uncertainty.

During the calculation for the particular vertical, the relative uncertainty is converted to absolute uncertainty and is associated to the selected input quantity. For values above the maximum range is taken the uncertainty of the last row.



#### $\triangleright$  Uncertainty in point velocity measurement due to limited exposure time

Absolute or relative standard uncertainty is entered in the table for particular range of velocity, exposure time and depth. Inserting new rows or deleting existing rows is done with buttons in front of the table.

In the list box, the model quantity of type  $B -$  Standard uncertainty is selected, associated with this source of uncertainty.

During the calculation for the particular vertical, the relative uncertainty is converted to absolute uncertainty and associates to the selected input quantity. For values above the maximum range is taken the uncertainty of the last row. When activating the interpolation option, the uncertainty value is interpolated linearly for the specific velocity and exposure time.



## > Uncertainty of discharge due to limited number of verticals

Relative standard uncertainty in % is entered in the table for particular range of number of verticals. Inserting new rows or deleting existing rows is done with buttons in front of the table. In the table for the standard uncertainty, instead of a number can be entered a formula with the number of verticals  $m$  as a parameter. In the list box, the model quantity of type  $B - Standard$  *uncertainty in %* is selected, associated with this source of uncertainty.

During the calculation for the particular vertical the relative uncertainty is converted to absolute uncertainty and is associated with the selected input quantity. For values above the maximum range is taken the uncertainty of the last row. When activating the interpolation option, the uncertainty value is interpolated linearly for the specific number of verticals.



## **15.3.2. Settings for FLAURE Method**

Settings for following sources are identical with the ISO method:

- Uncertainty in the measurement of distance from initial point
- Uncertainty in the measurement of depth in the verticals
- Uncertainty of mean velocity due to limited number of points in the vertical
- Uncertainty in point velocity measurement due to current-meter rating error
- Uncertainty in point velocity measurement due to limited exposure time

## $\triangleright$  Uncertainty of discharge due to limited number of verticals

The software calculates the sampling quality index (SQI) and then the relative standard uncertainty in % by using following equation:

#### $U_m\% = A_2*SOI^2 + A_1*SOI + A_0$

In the settings are entered the equation coefficients, and in the list-box is selected the model quantity of type  $B$ – *Standard uncertainty in %*, associated with this source of uncertainty.



## **15.3.3. Settings for Q+ Method**

Settings for the following sources are identical with the ISO method:

- Uncertainty in the measurement of distance from initial point
- Uncertainty in the measurement of depth in the verticals
- Uncertainty of mean velocity due to limited number of points in the vertical
- Uncertainty in point velocity measurement due to current-meter rating error
- Uncertainty in point velocity measurement due to limited exposure time

For uncertainties of depth and mean velocity due to limited number of verticals (transversal integration) are selected in the list boxes the model quantities of type  $B -$  Standard uncertainty, associated with these sources of uncertainty. Uncertainty is calculated from the measurement data by using the formulas in [3].



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## **15.3.4. Settings for IVE Method**

The settings for uncertainty of distance from initial point and uncertainty of mean velocity due to limited number of points are identical with the ISO method.

For uncertainties in the measurement of depth and velocity in the verticals are selected in the list boxes the model quantities of type  $B - Standard$  uncertainty, associated with these sources of uncertainty. Uncertainty is calculated from the measurement data by using the formulas in [3].



## **15.4. Discharge Measurements**

In the window Observations / Discharge are entered or imported the measurements of width, depth and point velocity in each vertical. Depending on the selected method for determination of the mean velocity, the software opens the corresponding table for entering the measurements:

#### $\triangleright$  Reduced point method

The software offers additional options for entering point or mean velocity, and automatic filling the point depth according to ISO 748, ISO 1088.

The number of verticals is entered in the header cell of the first column. After entering the number of points in the vertical, the software generates the corresponding number of rows to input point depth, exposure time and point velocity.



With the Empty button are deleted the current discharge measurements and calculated uncertainty estimations of the basic quantities.

- The Import button opens a dialog window for selecting the data file. Currently the software supports Aquacalc (CSV), Flow Tracker 1 (DIS), Flow Tracker 2 (CSV), OTT-ADC (TXT) and OTT-MFPro (TSV) data formats. In the software folder Examples subfolder Examples for import of flow measurements are presented practical examples of importing data files into models for the four methods of uncertainty estimation.
- With the Paste button are imported discharge measurements from the clipboard, the file *Examples of* flow measurements.xlsx contains practical examples of the data formats that can be imported from the clipboard.

## $\triangleright$  Velocity distribution method

The software offers the following additional options:

- entering the depth at the measurement points from the surface or from the bottom
- entering the coefficient for calculating the mean velocity between the bottom and the nearest measured point velocity - the coefficient value is in the interval [0.5; 1], default value is 0.88



When Q+ method is selected, the software displays additional option for calculating or inserting the angle of transverse slope of the bottom:



## > Integration method

The software displays two fields for entering the depth of the unmeasured zones over the bed and below the surface. The mean velocity in the vertical is recalculated taking into account the unmetered zones according to ISO 748, chapter 7.1.5.4.



## > Surface one-point method

The coefficient for calculating the mean velocity is entered between 0,84 and 0,90 depending upon the shape of the velocity profile, default value is 0.88



## **15.5. Discharge Results**

The window *Discharge* presents a summary of the results:

Segment data - the table displays for each segment the vertical number, segment width, total depth, number of points, mean velocity, segment area, segment discharge and relative discharge in %. In the bar chart diagram the segments with relative discharge above the allowable limit are plotted in red.

Summary of flow parameters – software calculates additionally the measured total discharge, number of verticals with velocity > 0, average velocity of stream, maximum measured velocity, water surface width, maximum depth, area of cross-section of stream and average depth (area/width). For the maximum measured velocity, in brackets are displayed the vertical number and the point depth.

Result – includes discharge, expanded uncertainty, expanded relative uncertainty in %, coverage factor and type of distribution. According to GUM, uncertainties are rounded to the selected number of significant digits and the discharge value is rounded to be consistent with the expanded uncertainty. The results for each selected uncertainty calculation method (GUF, GUF-NL, MCM) are displayed in separate rows.



Each part of the discharge overview can be copied to the clipboard and imported into other applications.

## **15.6. Printing and Export**

## **Printing of Measurement Uncertainty Report**

Printout in the program is made by configurable templates in RTF format (\*.RTF) with coded fields. The user can provide or adapt the report by using the coding of the fields from the standard report. The sequence of the individual fields or tables can be changed. The RTF Template can contain additional texts and pictures (Company Logo).

When generating a report, the software automatically selects the corresponding standard template, if there are no custom templates selected. If custom templates are set, the software selects the default custom template. Additional custom templates are selected for printing with the arrow on the Print button or over the Function/Print menu. Generated reports can be printed, saved in a file with a selectable name or sent by email.



# **Export to Microsoft Excel**

The Export to MS Excel is a useful feature to transfer data from an uncertainty analysis over the OLE interface to Excel files. With the command / button Export to MS Excel, a dialog window with the settings for the data transfer is open.



The data transfer starts with the selection of the OK button. The program MS Excel is loaded in the background and according to the active options in the dialog window, the software creates a new workbook with multiple worksheets and fills them with data.



**15.7. References for Determination of Uncertainties in Flow Measurement**

- [1] ISO 748:2007 Hydrometry Measurement of liquid flow in open channels using current-meters or floats
- [2] ISO 1088:2007 Hydrometry Velocity-area methods using current-meters Collection and processing of data for determination of uncertainties in flow measurement
- [3] J. Le Coz∗, B. Camenen, X. Peyrard, G. Dramais, 2012 Uncertainty in open-channel discharges measured with the velocity–area method
- [4] Aurélien Despax, Christian Perret, Rémy Garçon, Alexandre Hauet, Arnaud Belleville, Jérôme Le Coz, Anne-Catherine Favre, 2017 - Prise en compte de la qualité de l'échantillonnage dans l'estimation de l'incertitude des jaugeages par exploration du champ des vitesses (méthode Flaure)
- [5] Aurélien Despax, Christian Perret, Rémy Garçon, Alexandre Hauet, Arnaud Belleville, Jérôme Le Coz, Anne-Catherine Favre, 2016 Considering sampling strategy and cross-section complexity for estimating the uncertainty of discharge measurements using the velocity-area method
- [6] Timothy A. Cohn, Julie E. Kiang, Robert R. Mason Jr., 2013 Estimating Discharge Measurement Uncertainty Using the Interpolated Variance Estimator

# **16. Uncertainty Calculation in MS Excel by the QMSys GUM Excel Add-In**

The full integration of calculating the measurement uncertainties in MS Excel is implemented by using the additional software *OMSys GUMX* (Excel Add-In). This program fully supports the functions for importing data to the input quantities from a MS Excel file, the calculation of measurement uncertainty and the export of the results in the same Excel file. The model files are prepared with the software editions **QMSys GUM Enterprise / Professional** and saved in the special format for the Excel add-in QMSys GUMX with extension ".gxl".

The Excel add-in *OMSys GUMX* is included in the installation files of the editions *OMSys GUM Enterprise* / Professional. The installation file "qgumx\_inst.exe" of the software QMSys GUMX located in the subfolder ".. \ QGUMX\_Inst". During the installation, the software *QMSys GUMX* will be automatically registered in MS Excel software, therefore the programs MS Excel and *OMSys GUM Enterprise / Professional* must be closed. Under operating systems MS Windows Vista / 7 / 8 are also needed administrator rights.

The program  $QMSys$  GUMX uses two methods to calculate the measurement uncertainty:

- **GUF Method** for linear models when only the GUF is selected in the model file
- **Monte Carlo Method** with Sobol sequences when GUF-NL or MCM are selected in the model.

## **Program Menu**

Under the menu "QGUM" and in the menu bar are provided following functions:



- Calculate the MU this function will start the calculation of the measurement uncertainty. The imported data of the input quantities are read from the current Excel file and after the calculation of the measurement uncertainty, the results are written in the same file. This function is only accessible if a model file has been selected for the current Excel file.
- Model file with this function, a model file for the calculation of measurement uncertainty is selected from a list with model files. Up to three different model files can be assigned to the opened Excel file with the function "Select model". The program automatically saves the relative file path if the model file is in the same folder or in a subfolder to the Excel file. Otherwise, the absolute file path is stored. Using the function "Clear list" will remove all model files from the list.
- Language selecting the program language.
- Register opens a window with the license key of the software and a field for entering the unlock key and the activation of the software.

## **Calculation of measurement uncertainty**

The following steps represent the basic procedure for calculating the uncertainty from an Excel file:

- 1. Create a model file for calculating the measurement uncertainty with the software editions OMSys GUM Enterprise or Professional.
- 2. Create an Excel file containing the data to be imported to the input quantities and codes for the export of the results of the uncertainty calculation. It is recommended to save the Excel file and the model file in the same folder.
- 3. Select the appropriate cells or cell ranges of the input quantities in the model file, save the adjusted model file and close it.
- 4. Associate the model file with the Excel file over the menu "QGUM -> Model file -> Select model" and save the Excel file.
- 5. You can now enter in this Excel file different values for the input quantities and start the calculation of the measurement uncertainty over the menu "Calculate the MU" - the calculated results are automatically updated in the cells that contain the corresponding codes.
- 6. You can copy the Excel file and run the calculation of the measurement uncertainty from the new file the software reads the imported data from the new file and writes the results in the same file.

The model file can be used in several Excel files with the same cell structure of the imported data for the input quantities. The areas with the codes for the export of the results can be structured differently in the individual files. When calculating the measurement uncertainty, the imported data is read from the current file and the results are exported in the same file.

#### **Examples for one measurement set:**



#### **Example of model with indexed quantities:**



This example shows the possibility to use two methods for calculating the measurement results with the associated uncertainty for the same measurement data set.

## **Calculation of measurement uncertainty for several measurement series**

The software OMSys GUMX also offers calculation of measurement uncertainty in MS Excel for unlimited number of measurement series (result quantities with identical measurement model) using a model file for only one set of measurements. This functionality is particularly useful when calibrating in several points of the measuring range, in addition, it simplifies the modelling of the measurement process.

In this case in the model file are used the defined in MS Excel names of cell areas for the input quantities, which parameter values are read from the Excel file.

The observations values of all measurement series of one input quantity of type A are defined with one cell area. With the symbols "H" or "V" is specified, how the individual series are ordered in the cell area:



For the remaining parameters of the input quantities are defined separate cells areas - one area for each parameter. The cells in an area may be assigned by column or by row. Empty cells or mixed assignments are not allowed.

The codes for the statistical analysis of the type A input quantities and the result quantities are entered in the appropriate cells or comment fields only once for the first series of measurements. By inserting the characters "H" or "V" in front of the "#" symbol is defined how will be imported the results for the next series of measurements:





#### **Import of several series of measured values for type A indexed quantities**

The observations values of all measurement series of one indexed quantity of type A are defined with one cell area. With the symbols "H" or "V" is specified, how the individual series are ordered in the cell area. Following options for definition of the cell area with the measurement sets in MS Excel are offered:

#### • **Measured values and series are arranged by columns – "**Name**\_C\_V"**



#### • **Measured values and series are arranged by rows – "**Name**\_R\_H"**





If in the defined area are empty cells, the software will automatically reduce the number of observations for the corresponding sub-quantity. At least 1 measured value must be entered in the Excel file for each sub-quantity.

## **Appendix A: Validation of the QMSys GUM Software**

**ISO/IEC Guide 98-3:2008 (GUM:1995)** Guide to the expression of uncertainty in measurement



#### **ISO Guide 98-3/S.1, JCGM 101 Suppl. 1 to the "GUM" -** Propagation of distributions using a Monte Carlo method



#### **EA-4/02 , DAkkS-DKD-3** Expression of the Uncertainty of Measurement in Calibration



#### **EURACHEM/CITAC Guide CG 4** Quantifying Uncertainty in Analytical Measurement



u - Combined standard uncertainty; U - Expanded uncertainty; k - Coverage factor; f - Degrees of freedom; ß - Shape factor

# **Appendix B: Example of a Report**

#### **Page 1**



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Compliance assessment: Two-sided

Rejection

Lower specification limit: 9999,5 g<br>Upper specification limit: 10000,5 g<br>Decision rule: Stringent Acceptance - Stringent



**KWWAG Metrology and measurement software** 



HOHK

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# **Appendix C: Example of an Export to MS Excel**

#### **Page** Model



#### **Page** Quantities



#### **Page** Observation for Type A Quantity Microsoft Excel - Calibration of a gauge blocks 1, 5, 10, 20 and 50 mm  $\Box x$ : 그<br>'멘 File Edit View Insert Format Iools Data Window Help Adobe PDF Type a question for help  $\times$ ١n : Arial ▼10 ▼ B Z U | 事 事 重 国| 99 % , 協 ぷ| 建 建 | 田 ▼ B - 3- 3- 3 計手出  $A$ **ib**bba  $\overline{t}$  $A1$  $\overline{B}$  $\Delta$ ⊾ss  $\mathcal{P}$ **Statistics**  $\frac{1}{3}$ 48 Number of values  $\overline{5}$  $\overline{4}$ Minimum 1,60E-04  $\frac{1}{2}$  $\overline{2}$  $42$  $\overline{5}$ Maximum 2,00E-04  $\overline{2}$  $\overline{6}$ 1,70E-04 Median 36  $\overline{7}$ 1.74E-04 Mean value Rel. frequency (%)  $30$  $\overline{8}$ Range 4,00E-05 Abs. frequency  $\overline{9}$ Variance 2,80E-10  $\overline{24}$  $\overline{1}$  $10$ Stand, deviation 1,67E-05  $-1$  $\overline{11}$ Stand, uncertainty 7.48F-06 18  $\overline{12}$ Bayesian stand, uncertainty 1,06E-05  $12$  $\overline{13}$ Skewness 0.73  $\overline{14}$ Kutosis  $-0.866$  $\epsilon$  $\frac{1}{15}$ Test value (K-S-L) n 199  $\frac{1}{16}$ Critical value (99%)  $0.405$  $0$ ċ  $\overline{17}$ Normal distribution (99%)  $0,125\times10^{-3}$  $0,150 \times 10^{-3}$  $0,175 \times 10^{-3}$  $0,200 \times 10^{-3}$  $0,225 \times 10^{-3}$ Yes  $\overline{18}$  $\frac{1}{19}$ Observation  $\overline{20}$  $0,00018$ 1  $\frac{1}{21}$  $\overline{z}$  $0,0002$  $\frac{1}{22}$  $\overline{3}$ 0,00016  $\overline{4}$ 0,00016  $\frac{24}{25}$ 5 0,00017  $\frac{1}{26}$ ▶ H / Quantities / ðl\_1 / ðl\_5 / ðl\_10 / ðl\_20 / ðl\_50 / l\_X1 / l\_X5 / l\_X10 / l\_X20 / l\_X50 / Total budget / Reg <  $\mathbf{E}$

#### **Page** Budget



**AMY STERN and measurement software** 

**Page** Total budget



